

Studying the Trends of Policy Research in Policymaking Environment

Rahmatollah Gholipour*, Gholamreza Jandaghi

University of Tehran, Iran
rgholipor@ut.ac.ir, jandaghi@ut.ac.ir

Abstract The aim of this research is to study the trends of policy research in policymaking environment. In this line, a model by Dukeshire and Thurlow is utilized. Their model consists of four aspects including identifying the problems and difficulties, understanding main problems, supporting selected actions and the impacts of assessment and control process. In a descriptive survey among policy research agencies in Iran, Research Center in Iranian Parliament was selected as statistical community. Among 120 members of the community, 40 subjects were selected randomly. Needed data were gathered and analyzed by SPSS software. According to findings on each four aspects, there is significant difference between quo status and ideal status. It means that policy research activities in statistical community lack necessary effectiveness in policymaking environment.

Keywords Policy Research, Policymaking, The Trends of Policy Research

1. Introduction

Policy research is a way to make policies scientific. However, it is not a new knowledge and has been already existed. Since policymaking and lawmaking should be performed by scientific tools and techniques due to the complicated nature of public problems; so, it needs a research approach. Today, public problems and difficulties have complicated and multidimensional nature so that one can not find a proper way (law or policy) to resolve them without a scientific study. Therefore, while establishing a relationship between knowledge and policies, various ways should be utilized to make policies scientific. To create a proper relation between policymaking arena and knowledge/science field and making policies scientific, three methods are suggested:

1. Involving scientific elites in the positions of policymakers and lawmakers.
2. Involving scientific elites as the counselors of policymakers and lawmakers.
3. Utilizing policy research method to make policies scientific.

The first two suggestions have no practical or scientific possibility since it is not possible to involve only scientific elites in the positions of policymakers and policymaking

bodies consist of trustees of people not necessarily community scientific elites. On the other hand, it is unlikely to use scientific counselors regularly in all fields and all times. However, third suggestion is more feasible. In this method, policies are investigated by research bodies before selection. Policy research is a bridge between policymakers and thinkers of various sciences to optimize devised policies in order to accelerate social development. Policy research is a scientific (rather than political) method to study policymaking procedure. It can provide policymakers with scientific recommendations by its profound looking.

2. What is Policy Research?

Policy research is the process of studying an important social problem or analyzing it in order to provide policymakers with scientific recommendation to resolve the problem (Levine, 2004: 3). Policy research is to apply scientific researches in policymaking by which one can identify social problems, collect sensitive data, devise concepts and assumptions and, more importantly, cause the credit of research before policymakers (Oreszcyn & Carr, 2004: 480).

Policy research is to use research in politics by which researches are applied and the relationship between academic disciplines and public policymaking sectors are expanded (Wilhelm, 2009: 5- 6).

3. The Type of Research in Policy Research

* Corresponding author:

rgholipor@ut.ac.ir(Rahmatollah Gholipour)

Published online at <http://journal.sapub.org/economics>

Copyright © 2012 Scientific & Academic Publishing. All Rights Reserved

The research has various categories. Albert Cherns identified four types of research:

1. Pure basic research.
2. Basic objective research, oriented toward a problem which arises in some field of application of the discipline, but is not aimed at prescribing a solution to a practical problem.
3. Operational research, aimed at tackling an ongoing problem within some organizational framework but which does not include or involve experimental action.
4. Action research (Thomas, 1985: 8).

4. Policy Research Characteristics

Policy research is multidimensional. Typically, public policies are looking for complicated social problems consist of some aspects, factors, effects and causes. Policy research uses inductive – empirical approach. Policy research starts with social problems and looks for conceptual experiences and scientific theories during social problem study. Policy research focuses on flexible variables. Policy research should concentrate on affectability and intervention in order to provide practical and executable recommendations. Policy research responds the users of the study. An important trait of policy research is to recognize such users as an initial step in policy research process. These various users have different expectations, agendas, values, assumptions and needs. Policy research involves values. It has many values by which the origins of decisions in study are various and even contradictory values (Majchrzak, 1984: 15 – 17)

5. The Policy Research Process

Like policymaking, policy research goes on during different stages.

by considering, one can define research policy process in a final conclusion.

Preparing: in this stage, preliminary information should be gathered and the methods of data collection and decision making on problems of policy research are defined (Majchrzak, 2000: 18).

Conceptualization: it means to select a theoretical base in studying social problems. It includes three fundamental initiatives:

- Building social problems initial model
- Devising research-based questions
- Selecting the researchers

Technical analysis: in a technical analysis, policy researcher studies the factors which may be the origin of a public or social problem.

Recommendation analysis: this stage addresses the way of providing and executing suggestions obtained in technical analysis sector.

Results Shift. Devising final recommendations does not mean policy approval necessarily. While policy researcher

can not establish a dynamic and trusting relation with policymaker, the best recommendations will not change to politics. (Gholipour, 2008: 268).

6. Research Conceptual Model

In this study, the model provided by Dukeshire & Thurlow is applied to review the trends of policy research in policy-making environment. It consists of four aspects: identifying problems and difficulties, understanding main problems, supporting selected action plans and the impacts of assessment and control process.

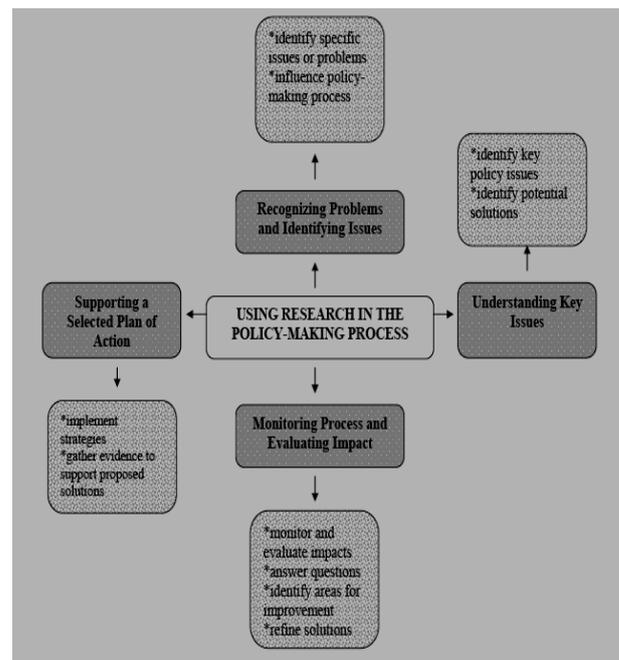


Figure 1. Research conceptual model

6.1. Major Hypothesis

There is significant difference between status quo and ideal status of research trends in policymaking environment.

6.2. Main Hypotheses

1. There is significant difference between status quo and ideal status in recognizing the problems and difficulties.
2. There is significant difference between status quo and ideal status in understanding main problems.
3. There is significant difference between status quo and ideal status in supporting selected initiatives.
4. There is significant difference between status quo and ideal status in assessment and control process.

6.3. Methodology

Regarding applied aim and the method of data collection, it is a descriptive-survey research. It is descriptive since it represents a picture of status quo and it is survey since it collects data via sampling to study the distribution of statistical population characteristics. As mentioned in literature,

policy research bodies in Iran include Research Center in Iranian Parliament, Research Center in Guidance Council and the Secretariat of Expediency Council. Since the research is performed in just on organization, it is a case study.

Used tool to collect data was questionnaire. A twelve-item questionnaire was obtained from Dukeshire & Thurlow model. Since it was going to compare status quo and ideal status, a bilateral questionnaire with Likert five-item scale was considered. The validity and reliability of the questionnaire was examined in mentioned organization. According to academic and organizational connoisseurs, the validity of the questionnaire was ideal. Its reliability (chronbach alpha) was calculated 0.896 which was acceptable.

6.4. Statistical Population and Sample

The statistical population of the research consists of 120 of managers, experts and researchers in Parliament Research Center. Randomly, 40 individuals were selected as statistical sample.

7. Findings

The findings were studied in the format of a descriptive and inductive statistics. Since demographical variables were used as categorization measure in variance analysis test, these variables are described here summarily. The first variable was organizational position. Our sample included 15 managers, 20 researchers and 5 experts. 12 respondents have Ph. D., 24 have M.A and the rest have M.A. in terms of service years, 24 respondents have more than 5 years working experiences, 11 respondents have between 2 – 5 years of working experiences and the working experiences of four persons are less than 2 years. Finally, 18 respondents have regularly attended in Parliament commissions, 4 persons have no experience in this regard and 18 respondents have sometimes attended in such commissions. The descriptive statistics regarding the status quo and ideal status on research trends in policymaking environment is shown in table 2.

Table 1. Descriptive statistics of the status quo and ideal status

Status quo- ideal	Statistics	Degree of Freedom	Mean difference	Significance level
Recognizing the problems	-11.704	38	-1.371	0.000
Understanding main problem	-11.041	38	-1.089	0.000
Supporting the plans	-17.54	38	-1.365	0.000
Assessment & Control	-14.487	38	-1.474	0.000

Below, we examine research hypotheses by inductive statistics. Hypothetical test of each assumption is shown below.

7.1. Hypothetical Test

H0: There is not a significant difference between status quo and ideal status of research trends in policymaking environment.

H1: There is significant difference between status quo and ideal status of research trends in policymaking environment.

To review research hypotheses, the mean test of two depended groups are utilized. The results of testing major hypothesis are indicated in table 3.

Table 2. Results of hypothesis test about the status quo

Standard deviation	Mean	Maximum	Minimum	Status
0.529	2.99	4.08	2.08	Status quo
0.374	4.04	4.58	3.25	Ideal

The benchmark supporting or refusing hypotheses is significance level. Since in above test, the significance level is $\geq 0/05$, H0 is not supported and the alternative hypothesis is supported. It means that there is significant difference between status quo and ideal status of research trends in policymaking environment. Since the mean difference between status quo and ideal status is negative, one can conclude that status quo is in lower level than ideal status. The findings of 1 – 4 main hypotheses are shown in table 4. Since their hypothetical test is similar to main hypothesis, we refuse writing them here.

Table 3. Results of hypothesis test on comparison of status quo and ideal

Status quo-ideal	Statistics	Degrees of Freedom	Mean Difference	Significance Level
Status quo	-14.0103	36	-1.045	0.000

To examine main hypotheses, we should refer to significance level. In all cases, significance level is ≤ 0.005 . Therefore, H0 is not supported and another assumption is confirmed. On the other hand, mean difference between status quo and ideal status is negative. Therefore, one can conclude that in all cases, status quo is lower than ideal status.

Now a question arises: How various groups defined in demographical variable have assessed status quo and ideal status? To answer this question, the means of various groups are compared by using one-way variance analysis. Noteworthy, null hypothesis in these tests shows the means among different groups. As mentioned in descriptive statistical analysis, demographical variables include organizational position, years of services, education and attendance in commissions. The results of variance analysis test are shown in table 5.

As seen in above data, regarding the obtained significance level in variance analysis test, there is no significant difference among the ideas of members in various groups on assessing status quo and idea status. The only significant difference is the members' ideas with various organizational

positions on ideal status. To determine the means among various people, LSD test was used. The results show that experts and connoisseurs have similar opinions and have assessed the ideal status higher than managers.

Table 4. Comparison of demographic variables in both status

Categorization	Significance level in status quo	Significance level in ideal status
organizational position	0.145	0.015
years of services	0.121	0.783
education	0.681	0.051
attendance in commissions	0.999	0.622

8. Discussion and Conclusions

Policy research is a new knowledge to make policies scientific which ties science and political actions arenas by using research scientific methods.

The scope of policy research is the arenas where decisions are made to resolve public problems. Regarding the diversity of fields, the methodology of policy research also differs. So, the research is useful when a proper method to make the recommendations functional. In this line, some characteristics are represented by Weiss (1980), Grember (2005) and Dukeshire (2002). According to Levine, the existence of some elements in researches to make them profitable is vital. These elements include research application, agents and research product.

Dukeshire & Thurlow believe that a research is effective if performed in a four-stage procedure. They include identifying the problems and difficulties, understanding main problems, supporting selected initiatives and control and assessment process.

By testing this model in research statistical population (Iranian Parliament Research Center), it was concluded that there is a significant difference between ideal status (research effectiveness in policymaking environment by using above procedures) and status quo. It means that policy research in statistical population is not effective in policymaking environment. By reviewing the reasons of status quo, one can point out following factors:

1. Lack of experience (about one decade) of policy research in Iranian Parliament Research Center
2. Low experiences of researches and experts on policy research
3. Inadequate attention to research scientific procedures in policy research environment
4. Weak interactive relationship between policy research and policymaking bodies
5. Emergency difficulties and public problems by which there is no enough time for research in policymaking environment.

The results of this research and other similar ones¹ show that the role and positions of policy research in policymaking environment is moderate. It has resulted into devising policies that are mainly ineffective and inefficient. So, it is recommended in this research to utilize the mentioned model in policy research process.

REFERENCES

- [1] Morss, R. E.2005." Problem definition in science public policy", *American Politics Research*.Vol.2, pp. 181-191.
- [2] Grebmer, K.V.2005. "Converting policy research into policy decisions: the role of communication and the media". Available at www.ifpri.org/divs/cd/dp/vonGrebmer200401.pdf
- [3] Levine, B.2003." Improving Research-Policy Relationships: Lessons from the Case of Literacy". University of Mainitoba Winnipeg. pp. 1-29
- [4] Levine, B.2004." Bridging research and policy in international development". Available at publications@odi.org.uk
- [5] Brown, L. D., & Bammer, G., & Batliwala. S., & Kunreuther., F.2003." Framing practice-research engagement for democratizing knowledge". *Action Research*.Vol.1.pp.81-102.
- [6] Dukeshire, S and Thurlow, J.2002. "Understanding the Link between Research and Policy", *Rural Communities Impacting Policy project*, Vol.18, pp. 1-18.
- [7] Majchrzak, A.1984." Technical analysis. In *Methods for Policy Research*". Sage: Beverly Hills.
- [8] Majchrzak, A.1984. "Methods for policy research", published by sage.
- [9] Thomas, p. p.1985." The aims and outcome of social policy research". Published Taylor & Francis. Vol.114
- [10] May, H. 2004. "Making statistics more meaningful for policy research and program evaluation ". *American evaluation association*. Vol. 25. pp. 525- 540.
- [11] Saunder, & Fine, M.1995." Evaluation and research in social policy". *Social policy research center*. Vol.62.pp. 1-31.
- [12] Wilhelm, T. 2009." Strange Bedfellows: The Policy Consequences of Legislative Judicial Relations in the American States)". *American Politics Research* Vol. 37. pp. 3- 29.
- [13] Majchrzak, A., 2000, "the methods of policy research (research to policymaking)" translated by Hooshang Naeini, Tehran, Tabian Publications.
- [14] Gholipour, Rahmatollah, 2008, "organizational decision-making and public policymaking", Tehran, Samt Publications.

¹ A research in the format of graduate thesis by Mr. Ghase-mi in Qom Pardis Management College, Tehran University. Its results show that thinking locus has the lowest role in policymaking process.