

Flatness Approach to Fault Parametric Detection of Systems

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Abstract In this paper a flatness approach to fault parameter detection is proposed. Our main contribution in this work consists in the synthesis of a flat moving horizon estimator for a supervision industrial process. The effectiveness of the proposed method is shown through the supervise of a series DC motor. The obtained results are very satisfactory in terms of detection rapidity and precision relatively to the case where the classic state estimator is adopted.

Keywords Fault Parametric Detection, Optimisation, Flatness, Flat Moving Horizon Estimator, Serie's DC Motor

1. Introduction

Fault detection and diagnosis can affect the performances of the industrial processes. A simple fault can reduce their productivity and their competitiveness. In this way, it's necessary to apply a fault detection and diagnosis scheme to reduce the incurred risks. On the other hand, it is so important to reduce the time of detection to come up with reliable decision on line. Several fault detection approaches are described in literature[9,10]. Particularly the fault detection based on parameter estimation proposed by Isermann[2]. This later based on changing process parameters, which are not directly measurable, requires on line parameter estimator such as Moving Horizon Estimator (MHE)[6].

In this paper two fault detection approaches based on parameter estimator MHE are compared.

The MHE estimator is applied to the direct differential model of the system to detect the variation of the parameters. Then the same estimator is applied to the flat differential model of the system, using the property of flatness of the studied dynamical process.

The present paper is organized as follows: Section 2 is reserved to introduce the identification method. In section 3, numerical simulations are presented where a comparison between the two approaches is included. This paper will be closed by the main concluding remarks.

2. Identification Method

2.1. Flatness Concept

Consider a nonlinear system

$$\dot{x} = f(x, u) \quad (1)$$

This system is flat if there is a vector of outputs such as states and system commands can be determined from the vector of outputs and a finite number of its derivatives[1,3]. Thus, no integration of differential equations is made necessary: the trajectories of states and inputs of the system can be immediately obtained from the trajectories of flat outputs[1,3].

$$y = h(x, u_1, u_1^{(1)}, \dots, u_1^{(\alpha_1)}, \dots, u_m, u_m^{(1)}, \dots, u_m^{(\alpha_m)}) \quad (2)$$

Such as

$$\begin{cases} x = A(y_1, y_1^{(1)}, y_1^{(\beta_1)}, \dots, y_m, y_m^{(1)}, \dots, y_m^{(\beta_m)}) \\ u = B(y_1, y_1^{(1)}, y_1^{(\beta_1+1)}, \dots, y_m, y_m^{(1)}, \dots, y_m^{(\beta_m+1)}) \end{cases} \quad (3)$$

Where

$$\alpha_i, \beta_i : \text{integers}(i = 1..m)$$

A, B: Vector valued function $A = (A_1, \dots, A_n)$, $B = (B_1, \dots, B_m)$.

2.2. Model Method

The model method[4,5] based on the comparison between experimental results and simulations response. This method is classified among the methods are based on the minimization of a quadratic criterion:

$$J = \sum_{i=1}^N \varepsilon_i^2 = \sum_{i=1}^N (y_{\text{real}_i} - y_{\text{mod}_i})^2 \quad (4)$$

Where

u: is the input vector of the system

yreal: is the output vector of the process

ymod: is the model output vector

$\hat{\theta}$: is the parameters vector

ε : is the error vector between the measured output and estimated output.

J: is the criterion to be minimized by a nonlinear pro-

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gramming algorithm (PNL)[4]. In this paper we used the Levenberg-Marquardt algorithm.

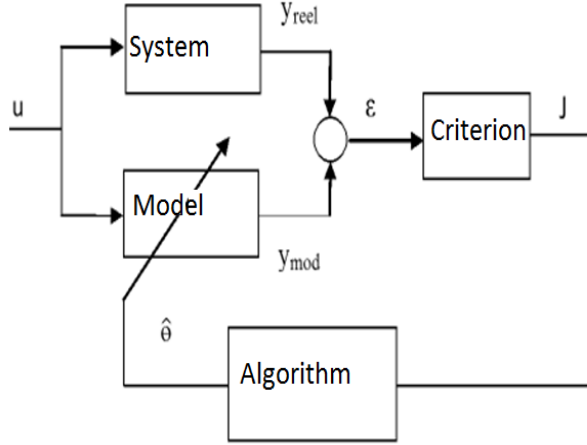


Figure 1. Principle of identification method

2.2.1. Estimator Algorithm

The Levenberg-Marquardt[13] defines the next update $\hat{\theta}_{k+1}$ as following:

$$\hat{\theta}_{k+1} = \hat{\theta}_k - \{[J''_{\hat{\theta}\hat{\theta}} + \lambda I]^{-1} J'_{\hat{\theta}}\}_{\hat{\theta}_k} \quad (5)$$

Where I is the identity matrix, λ is a regularization parameter, which controls both the search direction and the magnitude of the next update $\hat{\theta}_{k+1}$ and $(J'_{\hat{\theta}})_{\hat{\theta}_k}$ is the Jacobian matrix.

The first derivate of J is given by:

$$(J'_{\hat{\theta}})_{\hat{\theta}_i} = \frac{\partial J(\hat{\theta}_i)}{\partial \hat{\theta}} \quad (6)$$

The second derivate of J is expressed by:

$$(J''_{\hat{\theta}\hat{\theta}})_{\hat{\theta}_i} = \frac{\partial^2 J(\hat{\theta}_i)}{\partial \hat{\theta}^2} = 2 \sum_{i=1}^N \sigma_{i,\hat{\theta}_i} \sigma_{i,\hat{\theta}_i}^T - 2 \sum_{i=1}^N \varepsilon_i \frac{\partial^2 y_{mod_i}}{\partial \hat{\theta}^2} \quad (7)$$

The approximation of Newton, we allow to eliminate this term $-2 \sum_{i=1}^N \varepsilon_i \frac{\partial^2 y_{mod_i}}{\partial \hat{\theta}^2}$ in the Hessian expression. So the expression of Hessian become

$$(J''_{\hat{\theta}\hat{\theta}})_{\hat{\theta}_i} \approx 2 \sum_{i=1}^N \sigma_{i,\hat{\theta}_i} \sigma_{i,\hat{\theta}_i}^T \quad (8)$$

Where $\sigma_{i,\hat{\theta}_i}$ is the vector of sensitive functions[4,7].

2.3. The Moving Horizon State Estimation Concept

The basic concept of the moving horizon method will be presented in this section. The basic idea in the moving horizon estimation (MHE) approach is to maintain a constant length of the estimation window by discarding the oldest sample as a new measurement becomes available[6].

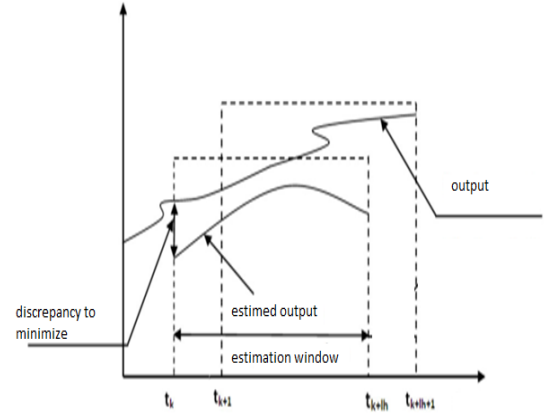


Figure 2. Principle of the moving horizon estimator

3. Identification Example and Simulation Results

We illustrate the effectiveness of the proposed approach via a simulation study leaded on the nonlinear model of a series DC motor. Neglecting magnetic saturation in the field circuit, a series DC motor can be modelled as[12]:

$$\begin{cases} L \frac{di}{dt} = -Ri - K_m L_f i \omega + V \\ J \frac{d\omega}{dt} = K_m L_f i^2 - D\omega - \tau_L \end{cases} \quad (9)$$

where i represent the armature current, V is the input of the system, ω is the rotational speed of the motor, R is the armature and the field resistance, L_f is the armature and the field resistance, J is the moment of inertia associated with both motor and the load, K_m is the motor constant, D is the viscous friction coefficient and τ_L is the load torque.

3.1. Flat Model of the Serie's DC Motor

The basic idea of the identification method using the flat model is presented as follow:

$$\begin{cases} U = (K_m L_f \omega + R) \sqrt{(J\dot{\omega} + D\omega + \tau_L)/K_m L_f} \\ + 0.5L(J\ddot{\omega} + D\dot{\omega})/(K_m L_f \sqrt{(J\dot{\omega} + D\omega + \tau_L)/K_m L_f}) \\ i = \sqrt{(J\dot{\omega} + D\omega + \tau_L)/K_m L_f} \end{cases} \quad (10)$$

To determine the derivatives of the rotational speed, we use an approximate derivation algorithm based on Lagrangian interpolation polynomial form. This later using five points[11]. The general expression of derivation formula is given in[8] and it's valid for n point.

$$\dot{\omega}_n = \frac{1}{12\Delta T} [-25\omega_n + 48\omega_{n-1} - 36\omega_{n-2} + 16\omega_{n-3} - 3\omega_{n-4}] \quad (11)$$

Where ΔT represent the sampled period.

3.2. Results and Discussion

The motor data used in simulations is given in table 1. In order to illustrate the performance of the flat estimator

for fault detection, we have compared it with the classic moving state estimator. As the Levenberg-Marquardt algorithm requires initialization parameters to be estimated close to real ones, we initialized the parameters to be estimated at 10% of the real values. In addition, the initial regularization parameter λ is chosen equal to 1 and the length of the horizon is set equal to $4\Delta T$ [14] avec $\Delta T = 0.05s$.

Table 1. Series DC motor parameters

R	7.2 Ω
L	0.0917 H
D	0.0004 N.m/rad/s
K	0.1236 N.m/Wb.A
J	0.0007046 Kg-m ²

Figure 3 present the evolutions of the electric parameter for the flat model of the motor. It shows clearly a rapidity of convergence of parameters. This result is expected because the optimisation algorithm doesn't necessity a numerical integration algorithm.

In order to test the robustness of the moving horizon estimator based on the Levenberg-Marquardt algorithm applied to the flat model, we introduced a white noise characterized by a zero mean and a variance equal to 10^{-3} , to the output of the system.

Figure 4 describe the evolution of electrical parameters of the motor. A static discrepancy is observed in the case of the estimate of the inductor. This is justified by the very low sensitivity of the inductance to the system output.

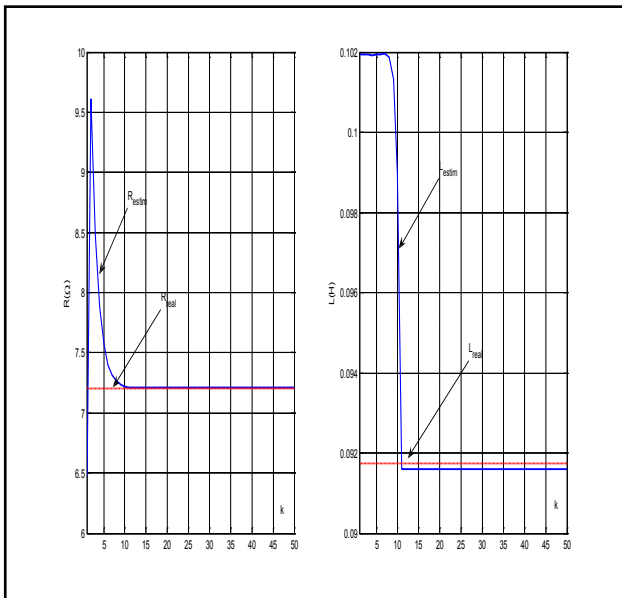


Figure 3. Evolution of the electrical parameter for the flat model

Figures 5 and 6 present the evolutions of the viscous friction coefficient and the quadratic criterion for the classic

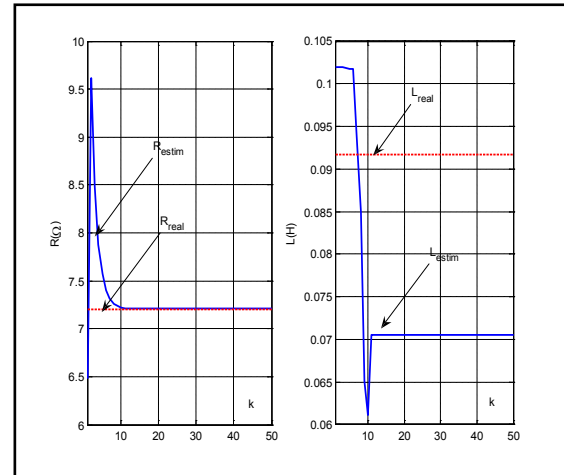


Figure 4. Evolution of the electrical parameter for the flat model (introduced the white noise)

state estimator. These figures show the detection of the deviation of the parameter. However, this estimator requires a time, relatively, important to ensure the convergence of the parameters.

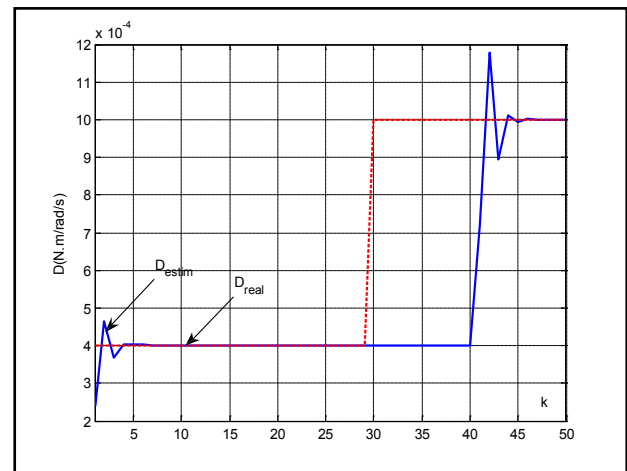


Figure 5. Evolution of the viscous friction coefficient for the direct model of the motor (fault in the viscous friction coefficient)

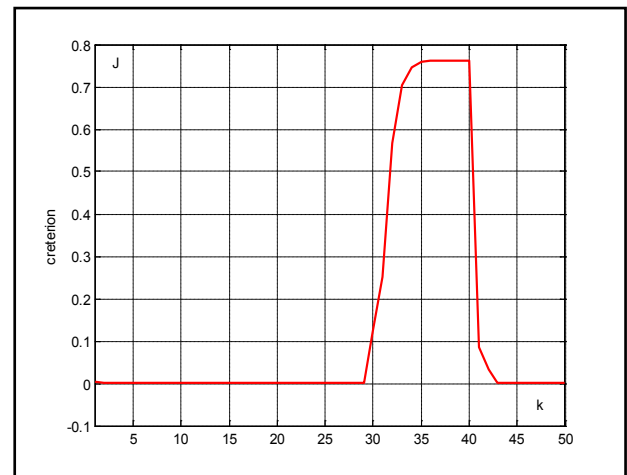


Figure 6. Evolution of the quadratic criterion for the direct model of the motor (fault in the viscous friction coefficient)

Compared to previous results (Figures 5 and 6), the results, illustrated by figures 7 and 8, clearly show better performance. The simulation results confirm that the flat estimator offers a rapid and perfect detection of the deviation of the parameters.

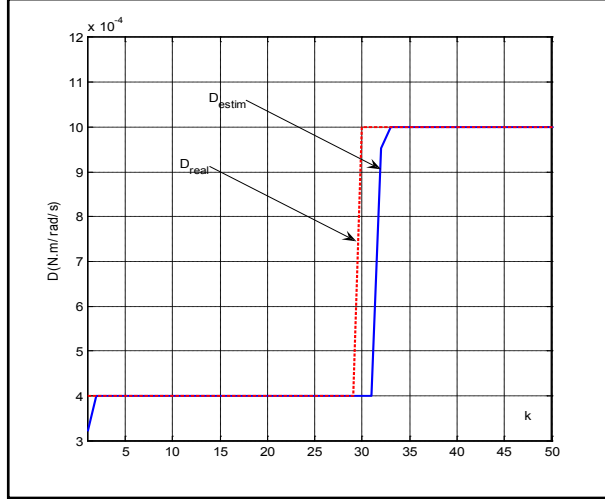


Figure 7. Evolution of the viscous friction coefficient for the flat model of the motor (fault in the viscous friction coefficient)

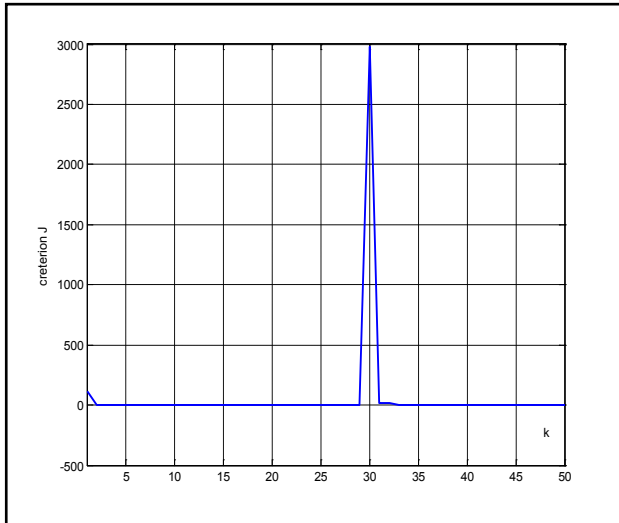


Figure 8. Evolution of the quadratic criterion for the flat model of the motor (fault in the viscous friction coefficient)

Figures 9 and 10 describe, respectively, the evolution of the equivalent resistance R and the quadratic criterion J in the case where the moving horizon estimator is applied to the system. These figures show acceptable performance in terms of detection of the deviation of the parameters. Figures 11 and 12 show, respectively, the evolution of the equivalent resistance R and the quadratic criterion J in the case where the moving horizon estimator is applied to the flat system. It is clear that performances are best recorded in terms of detection of the deviation parameter. This result is actually expected since the estimator is applied to the synthesized flat model of the system.

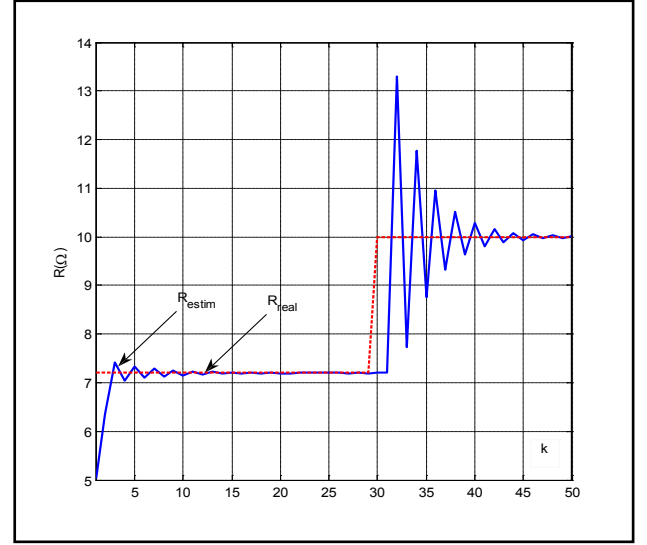


Figure 9. Evolution of the equivalent resistance for the direct model of the motor (fault in the equivalent resistance)

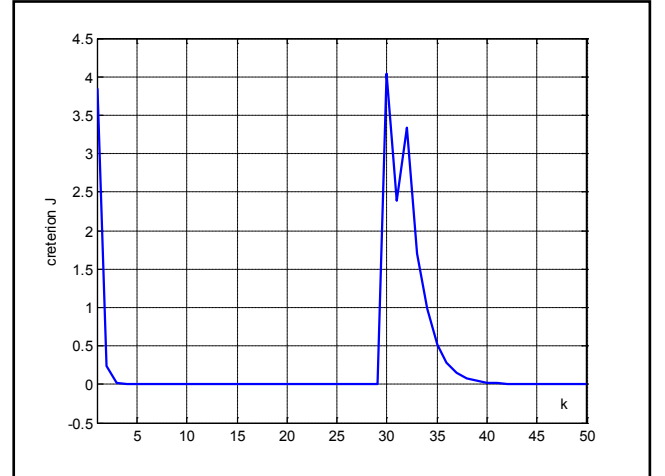


Figure 10. Evolution of the quadratic criterion for the direct model of the motor (fault in the equivalent resistance)

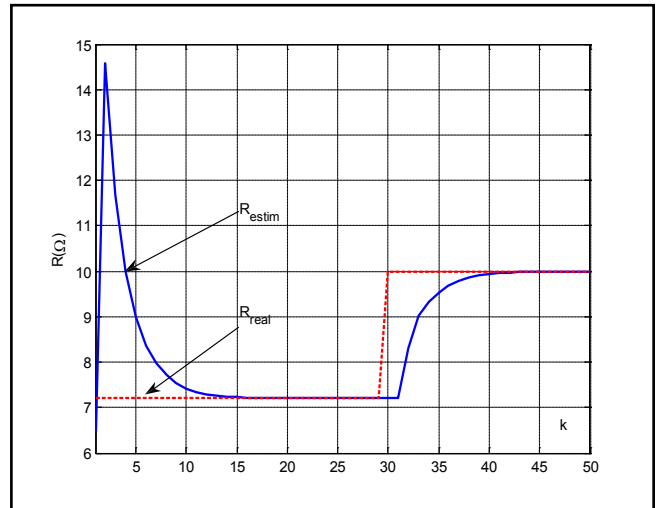


Figure 11. Evolution of the equivalent resistance for the flat model of the motor (fault in the equivalent resistance)

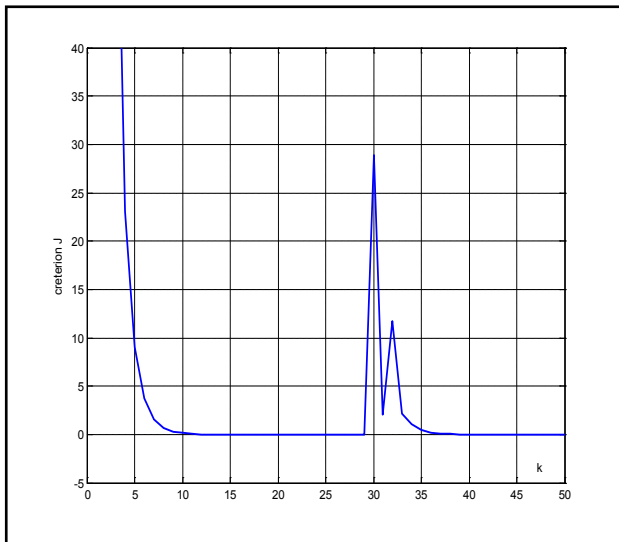


Figure 12. Evolution of the quadratic criterion for the flat model of the motor (fault in the equivalent resistance)

4. Conclusions

We have synthesized in this paper a flat moving horizon estimator for detection parameter fault. In fact, the implementation on line of a moving horizon state estimator necessitates a reduce time. To achieve this goal, we introduced the properties of flatness. The obtained results, for the flat estimator are very satisfactory in terms of detection rapidity and precision.

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