

Comparative Notes on Banach Principle for Semifinite von Neumann Algebras (W^* - Algebras) and JW-Algebras

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Abstract The objective of the paper is to give a comparative survey notes on non-commutative extension of the Banach Principle for L^∞ that was suggested in [3], [7], which extend the results in [8] to the case of JW-algebras without direct summand of the type I_2 . We discuss relationships among the conditions $(BSCNV(A_1)), (BSCNT(A_1)),$ and $(BSCLS(A_1))$ in JW-algebras as discussed in the case of the $*$ -algebras. We introduced the notion of uniform equicontinuity for sequences of functions with values in the space of measurable operators and present a non – commutative version of the Banach Principle for L^∞ . We established the Banach Principle for semi-finite JW – algebras without direct summand of type I_2 , which was the extension of the results of Chilin and Litvinov on the Banach Principle for semi-finite von Neumann algebras. The results in this paper has shown how Banach Principle for semi-finite Von Neumann (W^* -algebras) algebras was extended to the case of JW – algebras without direct summand of type I_2 .

Keywords Von Neumann algebras, Measure topology, Jordan operator algebras, Almost uniform convergence, Banach Principle, $*$ -algebra of τ – measurable operators affiliated to a semi-finite von Neumann algebra

1. Introduction

Let (Ω, Σ, μ) be a probability space. Denote by $\mathcal{L} = \mathcal{L}(\Omega, \mu)$, the set of all (classes of) complex-valued measurable functions on Ω . Let τ_μ stand for the measure topology in \mathcal{L} . The classical Banach principle can be stated as follows:

Classical Banach Principle. Let $(X, \|\cdot\|)$ be a Banach space, and let $a_n : (X, \|\cdot\|) \rightarrow (\mathcal{L}, \tau_\mu)$ be a sequence of continuous linear maps. Consider the following properties:

- (i) the sequence $\{a_n(x)\}$ converges almost everywhere (a.e) for every $x \in X$;
- (ii) $\hat{a}(x)(\omega) = \sup_n |a_n(x)(\omega)| < \infty$ a.e for every $x \in X$;
- (iii) holds, and the maximal operator $\hat{a} : (X, \|\cdot\|) \rightarrow (\mathcal{L}, \tau_\mu)$ is continuous at 0;
- (iv) the set $\{x \in X : \{a_n(x)\} \text{ converges a.e.}\}$ is

closed in X .

Then the implications $(i) \Rightarrow (ii) \Rightarrow (iii) \Rightarrow (iv)$ are always true. If in addition, there exists a dense subset $D \subset X$, such that the sequence $\{a_n(x)\}$ converges a.e. for every $x \in D$, then all the four conditions (i) – (iv) above are equivalent.

The Banach Principle above was often applied in the case $X = (L^p, \|\cdot\|_p)$, where $1 \leq p < \infty$. However, in the case $p = \infty$ the uniform topology on L^∞ appears to be too strong for the classical Banach Principle to be effective in L^∞ . For example, continuous functions are not uniformly dense L^∞ .

Bellow and Jones [3], using the fact that the unit ball $L_1^\infty = \{x \in L^\infty : \|x\|_\infty \leq 1\}$ is complete in τ_μ , suggested to consider the measure topology on L^∞ by replacing $(X, \|\cdot\|)$ by (L_1^∞, τ_μ) , since L_1^∞ is not a linear space, however, some geometrical implications do occurred but was resolved by Bellow and Jones. A non-commutative version of the Banach Principle for L^∞ was proposed by Chilin and Litvinov, while the non-commutative notions of Banach Principle for measurable operators affiliated to a semi-finite von Neumann algebra (W^* -algebra) were established by Goldstein, M; Litvinov, S and Litvinov, S; Mukhamedov, F. Then it was refined and applied in [5], [6],

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Published online at <http://journal.sapub.org/ajcam>

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[13]. In [5] the notion of uniform equicontinuity of a sequence of functions into $L(M, \tau)$ was introduced. The objective of the paper is to give a comparative survey notes on non-commutative extension of the Banach Principle for L^∞ that was suggested in [3], [7], which extend the results in [8] to the case of JW-algebras without direct summand of the type I_2 .

2. Preliminaries

Let M be a semi-finite von Neumann algebra of bounded operators acting on a complex Hilbert space H , and let $B(H)$ denote the algebra of all bounded linear operators on H . A densely-defined closed operator x in H is said to be *affiliated* to M if $y'z = zy'$, $z \in M$. We denote by $P(M)$ the complete lattice of projections in M . Let τ be a faithful normal semi-finite trace on M . Denote by $e^\perp = I - e$ the orthogonal complemented projection for the projection $e \in P(M)$, where I is the identity of M . An operator x affiliated to M is called τ -*measurable* if for every $\varepsilon > 0$, there exist a projection $e \in P(M)$ with $\tau(e^\perp) \leq \varepsilon$ such that eH belongs to the domain of the operator x . Let $L(M, \tau)$ the set of all τ -*measurable* operator affiliated to M . Denote $\|\cdot\|$ the uniform norm in $B(H)$.

If we set

$$V(\varepsilon, \delta) = \{x \in L(M, \tau) : \|xe\| < \delta \text{ for some } e \in P(M) \text{ with } \tau(e^\perp) \leq \varepsilon\}$$

then, the topology t_τ defined on $L(M, \tau)$ by the family $\{V(\varepsilon, \delta) : \varepsilon > 0, \delta > 0\}$ of neighborhoods of zero is called the *measure topology* ([14], [15])

Theorem 1: $(L(M, \tau), t_\tau)$ is a complete metrizable topological *-algebra

Proof: see ([14], [15]) for the details of the proof.

Let A be a semifinite JW-subalgebra of $B(H)_{SA}$ without a direct summand of type I_2 and $P(A)$ be the complete lattice of projections in A , and τ be a faithful normal semifinite trace on A . Let $M = M(A)$ be the von Neumann enveloping algebra of the Jordan algebra A . Then τ can be uniquely extended to a faithful normal semifinite trace on M , for which we will use the same symbol τ . A self adjoint operator $x \in L(M, \tau)$ is called affiliated to a JW-algebra A , if all its spectral projections belong to A . An operator x affiliated to A is called τ -*measurable* if for all $\varepsilon > 0$ there exist

$e \in P(A)$ with $\tau(e^\perp) \leq \varepsilon$ such that eH belongs to the domain of the operator x . Let $L(A, \tau)$ be the set of all τ -*measurable* operators affiliated to A .

Remark: A sequence $\{y_n\} \subset L(M, \tau)$ is said to converge almost uniformly (a.u) to $y \in L(M, \tau)$ if for all $\varepsilon > 0$, there exist $e \in P(M)$ with $\tau(e^\perp) \leq \varepsilon$ such that $\|(y - y_n)e\| \rightarrow 0$.

Proposition 1: For $\{y_n\} \subset L(M, \tau)$ the following conditions are equivalent

- (i) $\{y_n\}$ converges a.u. in $L(M, \tau)$;
- (ii) For all $\varepsilon > 0$, there exist $e \in P(M)$ with $\tau(e^\perp) < \varepsilon$ such that $\|(y_m - y_n)e\| \rightarrow 0$ as $m, n \rightarrow \infty$

Proof: see [7] for details

The following theorem is a non-commutative notion of Riesz theorem.

Theorem 2: If $\{y_n\} \subset L(M, \tau)$ and $y = t_\tau - \lim_{n \rightarrow \infty} y_n$, then $y = a.u. - \lim_{k \rightarrow \infty} y_{n_k}$ for some subsequence $\{y_{n_k}\} \subset \{y_n\}$

The proof of this theorem can be seen in [9] and [15].

A sequence $\{y_n\} \subset L(A, \tau)$ is said to converge bilaterally with square almost uniformly (b.s.a.u.) to $y \in L(A, \tau)$ if given $\varepsilon > 0$ there is $e \in P(A)$ with $\tau(e^\perp) < \varepsilon$ s.t. $\|e(y - y_n)^2e\| \rightarrow 0$.

Then the following proposition holds;

Proposition 2: For $\{y_n\} \subset L(A, \tau) \subset L(M, \tau)_{SA}$ the following conditions are equivalent.

- (i) $\{y_n\}$ converges a.u. in $L(M, \tau)$;
- (ii) Given $\varepsilon > 0$, there is $e \in P(M)$ with $\tau(e^\perp) < \varepsilon$ s.t. $\|(y_m - y_n)e\| \rightarrow 0$ as $m, n \rightarrow \infty$;
- (iii) $\{y_n\}$ converges b.s.a.u. in $L(A, \tau)$;
- (iv) Given $\varepsilon > 0$, there is $e \in P(M)$ with $\tau(e^\perp) < \varepsilon$ s.t. $\|e(y_m - y_n)^2e\| \rightarrow 0$ as $m, n \rightarrow \infty$;

Proof: conditions (i) \Rightarrow (ii) \Rightarrow (iii) are trivial. For (iv) : From

$$\begin{aligned} \|e(y_m - y_n)^2e\| &= \|((y_m - y_n)e)^*(y_m - y_n)\| \\ &\leq \|((y_m - y_n)e)^*\| \cdot \|(y_m - y_n)e\| \\ &= \|(y_m - y_n)e\|^2, \end{aligned}$$

so we can see that b.s.a.u. fundamentality of a sequence in a reversible JW-algebra is equivalent to a.u. fundamentality

of the same sequence in its von Neumann enveloping algebra $M = M(A)$. Thus the statement follows from proposition 1 above and hence the proved.

The Riesz theorem above will now take the following form

Theorem 3: If $\{y_n\} \subset L(A, \tau)$ and $y = t_\tau - \lim_{n \rightarrow \infty} y_n$, then $y = b.s.a.u. - \lim_{k \rightarrow \infty} y_{n_k}$ for some subsequence $\{y_{n_k}\} \subset \{y_n\}$.

Proof: Directly from propositions 1 and 2 respectively.

3. Uniform Equicontinuity for Sequences of Maps into $L(M, \tau)$

Let E be any set. If $a_n : E \rightarrow L$, $x \in E$, and $b \in M$ are such that $\{a_n(x)b\} \subset M$, then we denote

$$S(x, b) = S(\{a_n\}, x, b) = \sup_n \|a_n(x)b\|.$$

The following fact should be noted.

Corollary: Let $(X, +)$ be a semigroup, $a_n : X \rightarrow L$ be sequence of additive maps. Assume that $\bar{x} \in X$ is such that for every $\varepsilon > 0$ there exist a sequence $\{x_k\} \subset X$ and a projection $p \in P(M)$ with $\tau(e^\perp) \leq \varepsilon$ such that

- (i) $\{a_n(x + x_k)\}$ converges a.u. as $n \rightarrow \infty$ for every k ;
- (ii) $S(x_k, p) \rightarrow 0, k \rightarrow \infty$.

Then the sequence $\{a_n(x)\}$ converges a.u. in L .

Proof: Fix $\varepsilon > 0$, and let $\{x_k\} \subset X$ and $p \in P(M)$ with $\tau(p^\perp) \leq \frac{\varepsilon}{2}$ be such that the two conditions hold. Now pick $\delta > 0$ and let $k_0 = k_0(\delta)$ be such that $S(x_{k_0}, p) \leq \frac{\varepsilon}{3}$. then by proposition 1, there is a

projection $q \in P(M)$ with $\tau(q^\perp) \leq \frac{\varepsilon}{2}$ and a positive integer N for which the inequality $\|(a_m(\bar{x} + x_{k_0}) - a_n(\bar{x} + x_{k_0}))q\| \leq \frac{\delta}{3}$ holds whenever $m, n \geq N$. If we define $e = p \wedge q$, then $\tau(e^\perp) = \tau(p \wedge q)^\perp = \tau(p^\perp) + \tau(q^\perp) = \frac{\varepsilon}{2} + \frac{\varepsilon}{2} \leq \varepsilon$ and

$$\|(a_m(\bar{x}) - a_n(\bar{x}))e\| \leq \|(a_m(\bar{x} + x_{k_0}) - a_n(\bar{x} + x_{k_0}))e\| + \|a_m(x_{k_0})e\| + \|a_n(x_{k_0})e\| \leq \delta \text{ for all } m, n \geq N.$$

Therefore by proposition 1, the sequence $\{a_n(\bar{x})\}$

converges a.u. in L .

The following definitions hold:

Definition: A sequence $\{a_n\}$ is said to be equicontinuous at x_0 if, given $\varepsilon > 0$ and $\delta > 0$, there is a neighborhood U of x_0 in (X, t) such that $a_n U \subset V(\varepsilon, \delta), n = 1, 2, 3, \dots$ i.e. for every $x \in U$ and every n one can find a projection $e = e(x, n) \in P(M)$ with $\tau(e^\perp) \leq \varepsilon$ satisfying $\|a_n(x)e\| \leq \delta$.

Now let (X, t) be a topological space, and let $a_n : X \rightarrow L$ and $x_0 \in X$ be such that $a_n(x_0) = 0, n = 1, 2, \dots$

Definition: Let $(X, t), a_n : X \rightarrow L$, and $x_0 \in X$ be as above. Let $x_0 \in E \subset X$. The sequence $\{a_n\}$ will be called *uniformly equicontinuous* at x_0 on E if, given $\varepsilon > 0, \delta > 0$, there is a neighborhood U of x_0 in (X, t) such that for every $x \in E \cap U$ there exists a projection $e = e(x) \in P(M), \tau(e^\perp) \leq \varepsilon$, satisfying $S(x, e) \leq \delta$.

4. Bilateral with Square Uniform Equicontinuity for Sequences of Maps into $L(A, \tau)$

Definition: Let (X, t) be a topological space, $a_n : X \rightarrow L(A, \tau)$ and $x_0 \in X$ be such that $a_n(x_0) = 0$ for $n \in \mathbb{N}$. A sequence $\{a_n\}$ is called bilaterally with square equicontinuous at x_0 in (X, t) such that $a_n U \subset V(\varepsilon, \delta) \cap L(A, \tau), n \in \mathbb{N}$, i.e. for all $x \in U$ and for all $n \in \mathbb{N}$ one can find a projection $e = e(x, n) \in P(A)$ with $\tau(e^\perp) < \varepsilon$, satisfying $\|e(a_n(x))^2 e\| < \delta$.

Definition: Let $x_0 \in E \subset X$. A sequence $\{a_n\}$ is called bilaterally with square uniformly equicontinuous at x_0 on E , if for all $\varepsilon, \delta > 0$, there is a neighborhood U of x_0 in (X, t) such that $a_n U \subset V(\varepsilon, \delta) \cap L(A, \tau), n \in \mathbb{N}$, i.e. for all $x \in U$ and for all $n \in \mathbb{N}$ one can find a projection $e = e(x, n) \in P(A)$ with $\tau(e^\perp) < \varepsilon$, satisfying $\|e(a_n(x))^2 e\| < \delta$.

We can now define a bilaterally sequence with square uniformly equicontinuous as follows:

Definition: Let $x_0 \in E \subset X$. A sequence $\{a_n\}$ is called *bilaterally with square uniformly equicontinuous* at x_0 on E , if for all $\varepsilon, \delta > 0$ there is a neighborhood U of

x_0 in (X, t) such that for all $x \in E \cap U$, there is $e = e(x) \in P(A)$ with $\tau(e^\perp) < \varepsilon$, satisfying $S(\{a_n^2\}, x, e) < \delta$.

We then have the following result

Proposition 3: Let the sequence $\{a_n\}$ and $x_0 \in E \subset X$ as in definition above. Then,

- (i) $\{a_n\}$ is equicontinuous at x_0 on E into $L(M, \tau)$ iff it is bilaterally with square equicontinuous at x_0 on E into $L(A, \tau)$;
- (ii) $\{a_n\}$ is uniformly equicontinuous at x_0 on E into $L(M, \tau)$ iff it is bilaterally with square uniformly equicontinuous at x_0 on E into $L(A, \tau)$.

Proof: Directly follows from proposition 2 and arguments in [7]

Also in [7] it has been established that for any $d > 0$, the sets

$$M_d = \{x \in M : \|x\| \leq d\}, \text{ and}$$

$$M_d^h = \{x \in M_d : x = x^*\} \text{ are } t_\tau\text{-complete.}$$

Therefore, $(L(M, \tau), t_\tau)$ is a complete metrizable topological $*$ -algebra, and $(L(A, \tau), t_\tau)$ is a complete metrizable topological Jordan subalgebra of $(L(M, \tau), t_\tau)_{SA}$. Hence, it is easy to see that the set $A_d = M_d^h \cap A$ is t_τ -complete as well.

5. Main Results

In the $*$ -algebra, let's consider the following conditions. Let $0 \in E \subset M$, now for a sequence of function $a_n : (M, t_\tau) \rightarrow L$, then

- (i) almost uniform convergence of $\{a_n(x)\}$ for every $x \in E$; $(CNV(E))$
- (ii) uniform equicontinuity at 0 on E ; $(CNT(E))$
- (iii) closedness in (E, t_τ) of the set $C(E) = \{x \in E : \{a_n(x)\} \text{ converges a.u.}\}$ $(CLS(E))$

With these conditions, one can study relationships among the conditions $(CNV(M_1))$, $(CNT(M_1))$, and $(CLS(M_1))$. Following the classical scheme, one more condition can be added, namely, a non-commutative version of the existence of the maximal operator as follows: given $x \in E$ and $\varepsilon > 0$ there is $e \in P(M)$, $\tau(e^\perp) \leq \varepsilon$, with

$S(x, e) < \infty$. $(BND(E))$. This condition may be called a *pointwise uniform boundedness* of $\{a_n\}$ on E . It can be easily verified that $(CNV(E))$ implies $(BND(E))$, but $(BND(M_1))$ does not guarantee $(CNT(M_1))$. However, if a_n is additive for every n , then $(CNV(M))$ follows from $(CNV(M_1))$ while if E is closed in (M, t_τ) , then $(CLS(E))$ is equivalent to the closedness of $C(E)$ in (L, t_τ) .

In the JW-algebras, the above conditions can also be extended as follows: Let $0 \in E \subset A$. For a sequence $a_n : (A, t_\tau) \rightarrow L(A, \tau)$, then

- (i) Bilateral with square almost uniform convergence of $\{a_n(x)\}$ for every $x \in E$ $(BSCNV(E))$;
- (ii) Bilateral with square uniform equicontinuity at 0 on E $(BSCNT(E))$;
- (iii) Closedness in (E, t_τ) of the set $C(E) = \{x \in E : \{a_n(x)\} \text{ converges b.s.a.u.}\}$ $(BSCLS(E))$

We can then discuss relationships among the conditions $(BSCNV(A_1))$, $(BSCNT(A_1))$, and $(BSCLS(A_1))$ as discussed in the case of the $*$ -algebras. These are summarized below in the following theorems and whose proofs are obtained directly in [7] and the arguments in this paper.

Theorem 4: Let $a_n : A \rightarrow L(A, \tau)$ be a $(BSCNV(A_1))$ sequence of positive t_τ -continuous linear maps with $a_n(1) \leq 1, n \in N$. Then the sequence $\{a_n\}$ is also $(BSCNT(A_1))$.

Theorem 5: A $(BSCNT(A_1))$ sequence of additive maps $a_n : A \rightarrow L(A, \tau)$ is as well $(BSCLS(A_1))$.

Theorem 6: Let $a_n : A \rightarrow L(A, \tau)$ be a sequence of positive t_τ -continuous linear maps such that $a_n(1) \leq 1, n \in N$. If a sequence $\{a_n\}$ is $(BSCNV(D))$ with D being t_τ -dense in A_1 , the conditions $(BSCNV(A_1))$, $(BSCNT(A_1))$, and $(BSCLS(A_1))$ are equivalent.

6. Conclusions

The results in this paper has shown how Banach Principle for semifinite Von Neumann (W^* -algebras) algebras was extended to the case of *JW-algebras* without direct summand of type I_2 . We can extend these results to the case of bilateral almost uniform convergence on semifinite von

Neumann algebras and semifinite JBW -algebras without direct summand of type I_2 . These results can further be extended to obtain Stochastic Banach Principle, and then apply it to obtain some new Ergodic type theorems for Jordan algebras.

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